



# AEI Annual Action Plan 2022



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### 1 INTRODUCTION

Royal Decree 1067/2015 of 27 November 2015 created the State Research Agency (hereinafter the Agency) and approved its Statute. Its effective constitution took place on 20 June 2016, with the constitutive meeting of its Governing Council.

The Agency was created with the mission of contributing to the promotion of scientific and technical research in all areas of knowledge through the competitive and efficient allocation of public resources, the monitoring of funded actions and their impact, and advice on the planning of actions or initiatives through which the R&D policies of the General State Administration are implemented.

The Royal Decree creating the Agency envisaged that until the Management Contract was approved by joint ministerial order of the Ministry of Economy and Competitiveness and the Ministry of Finance and Public Administrations, the Agency's activities would be carried out in accordance with the criteria and guidelines established in the Initial Action Plan included in the Report referred to in Article 3 of Law 28/2006, of 18 July, as well as the actions to be carried out derived from the approval of the scientific and technical research and innovation plans as established by Law 14/2011, of 1 June, and their corresponding annual action programmes.

However, Law 40/2015, of 1 October, on the Legal Regime of the Public Sector, abolished the State Agencies, which had a period of three years from its entry into force to modify their statutes and become one of the entities that, in accordance with this Law, were to constitute the new institutional public sector.

For this reason, and not having approved a management contract in view of the foreseen extinction of the legal regime of the State Agencies, and pending adaptation to Law 40/2015, of 1 October, the Agency has been approving an Annual Action Plan since 2017, in accordance with article 23 of its Statute, thus updating year by year the strategic lines contained in its Initial Action Plan and in accordance with the State plans for scientific and technical research and innovation in force.

Law 11/2020, of 30 December, on the General State Budget for the year 2021, in its thirty-fourth provision, has modified Law 40/2015, of 1 October, recovering the state agencies as one of the legal figures of the institutional administration at state level.

The new article 108 ter, paragraph 2, of Law 40/2015 establishes that: "The actions of the State Agencies shall take place, in accordance with the annual action plan, under the validity and in accordance with the relevant multi-annual management contract (...)".

In view of the new regulation and while the Agency processes its management contract, it is appropriate to approve the Annual Action Plan for the year 2022, which covers the new actions to be carried out this year, giving continuity to those included in the Initial Action Plan and in the previous annual plans.

The Annual Action Plan is the Agency's planning instrument, within the framework of the management contract, which sets out, on the basis of the resources available, the activities to be carried out in the corresponding year in order to achieve its objectives. It must be approved by the Governing Board before 31 January of the corresponding year and its content is developed in Article 23 of the Statute, according to which:

"The annual action plan shall include, among others, the following activities:

- a) Those of a formative and methodological nature and those for the determination of management indicators for the activities that correspond to the Agency.
- b) Those of management, monitoring and control of the actions that, in matters of scientific, technical and innovation research, correspond to the Agency in accordance with its purpose and functions.
- c) Those of organisation and management of the scientific-technical evaluation of the proposals that require financing charged to the programmes and instruments assigned to the Agency or resulting from collaboration agreements and conventions".

The Annual Action Plan is structured in this document around seven main lines of action, describing the activities through which the Agency's functions will be executed and its objectives achieved, as well as the indicators that will enable the degree of compliance to be quantified.

This Annual Action Plan has been approved by the Agency's Governing Board, in accordance with article 23 of its Statute, at its meeting of 31 January 2022.

## 2 CONTEXT

### 2.1 AIM AND OBJECTIVES

According to Article 2.1 of the Statute, "the Agency's own purpose is the financing, evaluation, management and monitoring of scientific and technical research activity aimed at the generation, exchange and exploitation of knowledge promoted by the General State Administration on its own initiative or in concurrence with other Spanish Administrations or entities or those of other countries or international organisations".

For its part, Article 2. 2 establishes that "the Agency's aims are the promotion of scientific and technical research in all areas of knowledge through the efficient allocation of public resources, the promotion of excellence, the fostering of collaboration between the agents of the System and support for the generation of knowledge of high scientific and technical, economic and social impact, including those aimed at resolving society's major challenges, and the monitoring of the activities financed as well as the necessary advice to improve the design and planning of the actions or initiatives through which the R&D policies of the General State Administration are implemented".

### 2.2 FUNCTIONS

Article 5 of the Statute of the Agency establishes that, for the fulfilment of its object and purposes, the Agency will carry out the following functions:

- a) The management of the programmes, instruments and actions awarded to it within the framework of the State Plans for Scientific and Technical Research and Innovation, any others expressly assigned to it by the General State Administration or those deriving from collaboration agreements entered into with other entities or from other actions, by means of the objective and impartial allocation of the available resources.
- b) The organisation and management of the ex ante and ex post scientific-technical evaluation of proposals, actions or initiatives when appropriate, using evaluation criteria based on internationally recognised scientific and technical merits, as well as those criteria established in the corresponding calls for proposals.
- c) The verification, monitoring and subsequent evaluation of the activities financed by the Agency and their scientific, technical and socio-economic impact, as well as the control of the justification of the fulfilment of the conditions and objectives of the grants received.
- d) The communication and dissemination of the results of the evaluations carried out.
- e) The monitoring of the management, financing, justification and results of all actions directly executed by the Agency, as well as advice on the same.

- f) Participation in national and international meetings and forums related to the matters pertaining to its object and purposes, and representation in R&D&I policy forums when so determined by the Ministry of Economy, Industry and Competitiveness\*. The Agency's actions in international forums will take place in coordination with the Ministry of Foreign Affairs and Cooperation when so determined necessary.
- g) The dissemination and communication of the results of its activities and of the results of the research financed by the Agency.
- h) The management of actions aimed at fostering collaboration, exchange, circulation, dissemination and exploitation of scientific and technical knowledge among the agents of the System.
- i) The performance of the activities or the provision of the services entrusted to it by the General State Administration or, by virtue of contracts, agreements and in general legal business, by other entities.
- j) The management of R&D activities financed with European funds and those resulting from Spanish participation in international programmes.
- k) Economic and budgetary management and economic-financial control of the instruments and actions that correspond to it.
- l) Any other function entrusted to it.

(\*) Currently Ministry of Science and Innovation

### 2.3 BASIC OPERATING PRINCIPLES

The Agency shall observe the principles of general interest by which the actions of public administrations must be governed. In the exercise of its specific functions, it will also be governed by the following basic principles in accordance with article 6 of its Statute:

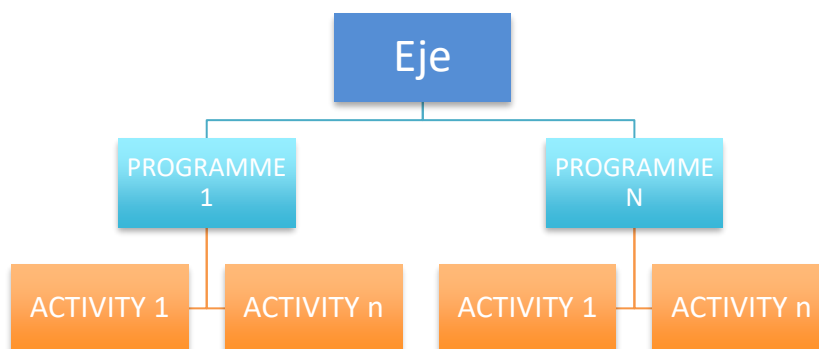
- a) Autonomy, understood as the Agency's capacity to manage the means placed at its disposal in order to achieve the committed objectives.
- b) Technical independence, based on the training, specialisation, professionalism and individual responsibility of the personnel at the service of the Agency, who must observe the applicable values of competence, professional ethics and public responsibility.
- c) Objectivity in the evaluation of scientific, technical and innovative merit in all its actions, which will be carried out using previously established criteria, known to all personnel and based on international and commonly accepted standards.

- d) Transparency in all administrative activities and compliance with the obligations of good governance on the part of all persons publicly responsible for the Agency, as well as accountability and commitments to present accurate and complete information on all results and procedures used in management.
- e) Effectiveness in its actions, using all means to carry out the object and purpose defined in its Statute.
- f) Efficiency in the allocation and use of public resources and continuous evaluation of the quality of management processes and action procedures, which shall be carried out in accordance with the criteria of legality, speed, simplification and electronic accessibility and without prejudice to the necessary rigour.
- g) Inter-institutional cooperation, understood as the principle that seeks synergies in collaboration with other Administrations, agents and institutions, whether public or private, national or international, for the promotion of knowledge in all areas.
- h) Gender equality, promoting the gender perspective and a balanced composition of women and men in its bodies, councils and committees and activities in accordance with the provisions of Organic Law 3/2007, of 22 March, for the effective equality of women and men, and the thirteenth additional provision of Law 14/2011, of 1 June.



### 3. THE SEVEN LINES OF ACTION

The Agency's programming has been divided into seven main lines of action, each of which has defined a number of main activities, mostly grouped into programmes. Each of the activities is composed of different phases and tasks, according to the following scheme.



An indicator has been associated with each defined activity and, whenever possible, an estimate of the real existing workload has been established for each of these indicators, as well as a value, in percentage, for the effective compliance target of the indicator over the real defined workload. A table with the following information has been included in each programme:

Activity	Workload estimation	Actual workload indicator	Target
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The column Workload estimate indicates the actual workload for the defined activity.

All indicators are defined in relative terms, i.e. referenced to the estimated actual workload. The Target column indicates the degree of fulfilment in percentage that is expected to be achieved by 2022 for each indicator.

In some programmes the Target is not defined as a percentage of compliance with the actual workload of the activity, but in absolute terms. In these cases, the parameter Workload estimate is omitted and the information indicated per programme is:

Activity	Indicator	Target
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A unit activity is defined, the indicator to be checked (e.g. a report, a proposal, an approved standard, an agreement, etc.) is marked and the target is indicated by an absolute number, which is the number of units to be achieved.

### 3.1 LINE 1. STRUCTURAL CONSOLIDATION AND DEVELOPMENT of the Agency.

#### Programme 1. Approval of the management contract.

During the year 2022, the Agency will undertake the drafting and approval of its management contract, through the procedure and with the content determined by article 108 ter of Law 40/2015, of 1 October, following the modification made by the thirty-fourth final provision of Law 11/2020, of 30 December, on the General State Budget for the year 2021.

To this end, a working group has been set up to prepare a proposal based on the document approved by the Governing Council on 27 November 2018.

ACTIVITY	INDICATOR	OBJECTIVE
<b>1.1.1. Drawing up, processing and approval of the Agency's Management Contract, in accordance with article 108 ter of Law 40/2015, of 1 October.</b>	Approval of the management contract.	1

#### Programme 2. Human Resources Management

This programme aims to advance in the design of the general framework for action in the area of human resources, which focuses on determining the working conditions of the Agency's staff, including aspects relating to the organisation, structure and framework for action in the area of human resources, remuneration, etc., with the participation of the relevant staff representatives and trade union organisations.

The activities envisaged under this programme are as follows:

##### **1. Reinforcement of the Management Support Unit.**

During 2022 the AEI will undertake actions that require a reinforcement of the human resources available in the Support Unit, both in terms of technical civil servants and contracted staff or scientific collaborators. Among these tasks, the following are highlighted: 1.2.5, 3 and those in section 5.

##### **2. Approval and implementation of a productivity system for the fulfilment of objectives.**

On 26 November 2019, the Agency's Governing Board approved a proposal for a multi-annual productivity programme for meeting objectives (PCO).

This programme aims to incentivise the productivity and performance of the Agency's staff, which will favour the fulfilment of its objectives, rewarding effort and dedication.

It also aims to make jobs at the Agency attractive, so as to help fill current vacancies and retain qualified staff currently on secondment. This objective is particularly important given the staff shortage in the ERA. As of 1 January 2022, the Agency's staff establishment plan has 118 vacancies, equivalent to 36.9% of the total number of posts.

The OWP is based on the definition of objectives to be met and indicators of achievement of these objectives and will be remunerated on a small part of the revenue generated annually from reimbursement of grants and provision of services.

In 2020, the OCP was submitted to the Ministry of Finance, which forwarded it to the Ministry of Finance for approval. A number of improvements have been made to the proposal during the year.

The Agency's target for 2022 is the approval and implementation of the Productivity by Objectives (PCO) system.

**3. Development and implementation of the Training Plan 2022.** A Training Plan will be drawn up to improve the skills necessary for the proper functioning of the organisation and the efficient provision of services. The Plan will be drawn up with the participation of workers' representatives. It is foreseen that 15 training courses will be given.

**4. Development and implementation of the Social Action Plan 2022.** The social action aid plan for the Agency's staff for 2022 will be drawn up and implemented, covering both individual measures (aid for staff studies, health protection, descendants and ascendants, transport, disability, situations of gender violence and exceptional situations) and measures of a collective nature (medical check-ups, canteen aid, etc.).

**5. Measures for the incorporation of new staff into the Agency.** A specific competition will be approved, published and resolved during the year 2022 for the provision of civil servant posts in the Agency, which will allow a minimum of 40 currently vacant posts to be offered.

Likewise, a study and proposal of posts to be included in the Public Employment Offer for the year 2022 will be carried out.

The incorporation of new staff to the AEI Agency must be an absolute priority objective, in order to guarantee continuity in the fulfilment of its aims. As indicated above and as can be seen in section 4 "Human Resources" of this Plan, the Agency currently has 36.9% of its posts vacant.

ACTIVITY	INDICATOR	OBJECTIVE
<b>1.2.1 Approval and launching of the OCP.</b>	Approval of the programme by the Ministry of Finance and implementation of productivity 2019.	1
<b>1.2.2 Elaboration and implementation of the Training Plan.</b>	Approval and implementation of the plan and delivery of 15 courses.	15
<b>1.2.3 Elaboration and implementation of the Social Action Plan.</b>	Approval and implementation of the Plan.	1
<b>1.2.4 Measures for the incorporation of new staff.</b>	Approval, publication and implementation of a transfer competition - preparation and submission of a proposal for the EPO.	2
<b>1.2.5 Reinforcement of the Management Support Unit.</b>	Recruitment of one technical staff member, two scientific collaborators and one service contract (communication).	4

### Programme 3. Consolidation of common services.

In order to consolidate the services necessary for the Agency's operation, the following activities will be undertaken mainly in 2022:

1. Complete the implementation of the computing and storage infrastructures, and the associated support, security, backup and systems services, which are shared with the Ministry of Attachment. The procurement process for these infrastructures has been completed in 2021 and their implementation and consolidation will be completed in 2022.

2. Continue the implementation of a new IT system for aid processing, which has been commissioned to TRAGSATEC in 2021. The commissioning has started in 2021 and will be completed by the end of 2023.

Pending the preparation of this study and the development and implementation of the new IT system, the current IT applications for aid management will be maintained jointly with the Ministry of the secondment.

3. Improvement of the IT infrastructure, with the acquisition of 200 laptops (and complementary equipment) to facilitate the teleworking of the Agency's staff and the modernisation of the Agency's network electronics and wifi system.

ACTIVITY	INDICATOR	OBJECTIVE
<b>1.3.1 Implementation and consolidation of computing and storage infrastructures, and associated support, security, backup and systems services.</b>	Complete implementation and consolidation.	1
<b>1.3.2 Continue with the implementation of the new computerised system for processing aid.</b>	Fulfilment of the assignment milestones foreseen for 2022 (8 milestones in May 2022 and 8 milestones in November 2022, according to the schedule of the assignment).	16
<b>1.3.3 Improvement of the IT infrastructure.</b>	Purchase of 200 laptops and renewal of network electronics and wifi.	2

### 3.2 LINE 2. MANAGEMENT of financing instruments.

The Agency's functions include the management of the programmes, instruments and actions awarded to it within the framework of the State Plans for Scientific and Technical Research and Innovation, any others expressly assigned to it by the General State Administration or those deriving from collaboration agreements entered into with other entities or from other actions, through the objective and impartial allocation of the available resources [article 5.a) of the Statute].

Within the framework of these State Plans, the Annual Action Programmes (hereinafter PAA) are the documents containing the actions programmed to promote and boost R&D&I, and the budget appropriations allocated for their funding through different funding bodies, including the State Research Agency, generally by means of competitive calls for proposals.

In turn, the Agency is responsible for the economic and budgetary management and economic-financial control of the instruments and actions that correspond to it - article 5.k) of the Statute.

The Agency's activity in this area has therefore been subdivided into Programme 1: Instruction of the procedure for awarding calls for proposals and Programme 2: Economic and budgetary management. The indicators provided in these two programmes depend entirely on the actions programmed in the AAP and the available budget.

### Programme 1. Instruction of the procedure for the awarding of calls for proposals

This programme has been divided into six core activities consisting of the different phases and tasks described below:

#### 1. Single order of regulatory bases

During the year 2022, the approval of the regulatory bases for aid under the State R&D&I Plan 2021-2023, which is the responsibility of the AEI, will be processed. It is planned to draw up a single set of bases for the different state programmes, under the premise of seeking to simplify administrative burdens and monitoring systems.

**2. Drafting and publication of calls for proposals.** This includes, in addition to the drafting of the texts of all calls for proposals, the request for the mandatory reports and authorisations, the signing and publication of the extract in the BOE and of the resolutions in the National Database of Subsidies (hereinafter BDNS).

The aim is to complete the homogenisation of the drafting of the calls for applications and the data to be entered in the BDNS to facilitate the process of submitting applications for aid and access to information for potential beneficiary entities.

The following calls for proposals are expected to be published in 2022 (\*):

1. Ramón y Cajal Grants (ESF).
2. Juan de la Cierva Training Grants (MRR)
3. Grants for Technical Support Staff (ESF)
4. Torres Quevedo Grants.
5. Grants for the training of doctors in companies "industrial doctorates".
6. Grants for pre-doctoral contracts (ESF).
7. Knowledge generation projects (ERDF).
8. Proof of concept projects (MRR)
9. Projects in strategic lines in public-private partnership (MRR)
10. Public-Private Partnership (PPP) projects (PPP)
11. Europe Research
12. Europe Excellence (MRR)
13. Research Networks
14. European project management
15. Grants for Severo Ochoa and María de Maeztu Centres and Units of Excellence

16. Grants to Technology and Innovation Platforms

17. International Collaboration Projects-I (MRR)

18. International Collaboration Projects-II (MRR)

19. R3 Accreditation

(\*) Calls with European funding have been identified in brackets:

- ✓ MRRM: Recovery and Resilience Mechanism
- ✓ ERDF: European Regional Development Fund
- ✓ ESF: European Social Fund.

In the case of ERDF and ESF, the identified calls are expected to be financed by these EU funds under the 2021-2027 programming, which is expected to be approved during 2022. The EIP has already selected enough projects in previous years to absorb the ERDF and ESF path allocated to it in the 2014-2020 period. These projects are under implementation and review and will be justified during 2022, 2023 and the first months of 2024.

**3. Instruction.** This activity includes the technological configuration processes of the communication interfaces with the beneficiary entities and internal processing applications, the administrative review of the applications, the rectification and processing of possible withdrawals and the notification of the provisional and final resolution proposals.

The aim is to standardise the wording of the notifications to beneficiary entities, as well as to improve procedures to shorten processing times.

**4. Maintenance of the system of entities.** The System of Entities (SISEN) is the repository where the entities applying for grants from the Agency must register, providing the necessary documentation to accredit both their legal nature and the valid representation of the natural persons designated as their legal representatives.

This repository is connected to all the systems for processing grants, so it is essential that all the information is kept up to date and that a system for registering new entities is allowed.

**5. Advice to applicant organisations.** Throughout the entire process of submitting applications and the instruction of the calls for applications, it is essential to provide correct advice to entities on the completion of the application, preparation of technical reports and curricula of research staff, eligibility of eligible costs, responsible declarations, hearing procedures, etc. This advice will be provided through the manuals and FAQ files published on the institutional website, as well as through e-mail accounts.

**6. Study to simplify the number of calls for proposals.**

A working group will be set up to prepare a proposal for grouping and simplifying the number of Agency calls for proposals.

<b>ACTIVITY</b>	<b>Estimated workload (*)</b>	<b>Actual workload indicator</b>	<b>Objective</b>
<b>2.1.1 Adoption of the regulatory bases for the PEICTI 2021-2023</b>		Approval and publication of the regulatory bases	1
<b>2.1.2 Drafting and publication of calls for proposals</b>	19	No. of calls published	100%
<b>2.1.3 Instruction</b>	23.300	No. of files processed	100%
<b>2.1.4 Maintenance of the entity system</b>	2.100	No. of applications for registrations/deregistrations/modifications processed	100%
<b>2.1.5 Counselling for aid applicants</b>	18.100	No. of emails answered	100%
<b>2.1.6 Simplification of the number of calls for proposals</b>		Preparation of a proposed report on simplification and grouping of calls for proposals	1

(\*) By way of comparison, the results for 2019 and 2020 (last years with a closed and approved General Activity Report) were as follows:

- Calls published: 16 in 2020 and 17 in 2019.
- Instruction of applications: 18,177 in 2020 and 19,270 in 2019.
- Modifications in SISEN: 1,703 in 2020 and 3,485 in 2019.
- Consultations handled: 14,817 in 2020 and 16,068 in 2019.



### Programme 2. Economic and budgetary management

This programme has been divided into the following five key activities, which are made up of the different phases and tasks described in each one of them:

**1. Prior audit of the calls for aid that require it.** The number of calls for proposals subject to prior audit by the Delegate Auditor of the Ministry of Science and Innovation corresponds to those with chapter 8 available for funding.

This process includes, for each of the different accounting phases necessary to execute the expenditure (listed in point 4), the preparation of the documentation which, with regard to the basic requirements for prior audit, is set out in the Agreement of the Council of Ministers of 30 May 2008.

**2. Review of the requirements for obtaining the status of beneficiary entity and for the payment of multi-annual aid.** This activity includes both the review of the responsible statements and certificates provided by applicants and, in the event that prior authorisation has been given, verification of compliance with obligations to the Tax Agency and Social Security via the web servers set up for this purpose.

This review is carried out only once for each entity, regardless of the number of grants awarded.

**3. Issuance of accounting documents.** The documents required to process the calls managed by the Agency are, depending on the stage of the procedure, the following:

Type of accounting document	Call phase
RC	Withholding of appropriations document accompanying the expenditure proposal for authorisation.
A	Expenditure approval document, once the call has been authorised and published.
D	Commitment of expenditure, this document is used once the call has been resolved and the beneficiary entities are known.
OP/OK	Recognition of the obligation and payment of the obligation or recognition of the obligation and proposal for payment (depending on whether the appropriations are from Chapter 7 or Chapter 8).

**4. Payment of grants.** In the payment of grants, as in the whole procedure for their economic management, a distinction must be made between the Agency's own budget and the budget of the Ministry of Science and Innovation, i.e., chapter 7 or 8.

In the first case, once the aid reflected in the respective OP document has been accounted for, it will be transferred to the unit in charge of managing the Agency's Treasury, in order to order the necessary transfers, always taking into account the Treasury's situation.

In the case of Chapter 8 operations, once the accounting document has been recorded by the Delegated Comptroller's Office, the payment order corresponds to the Public Treasury, a process in which the Agency does not intervene at any time.

All aid granted, regardless of its form, must be registered in the National Subsidies Database.

### **5. Cancellation of Chapter 8 Repayable Advances granted under the ERDF and ESF.**

The procedure for the cancellation of repayable advances involves several bodies of the General State Administration, in particular the AEI itself, the ERDF and ESF Certifying Authorities (Directorate General of European Funds of the Ministry of Finance and Public Administration and the ESF Administrative Unit of the Ministry of Labour and Social Policy) and the General State Comptroller (IGAE). The AEI is responsible for linking each ERDF operation to each loan (registered in the PRESYA application by the IGAE).

During the financial year 2022, the AEI Agency plans to match 6,600 ERDF operations with their respective loan numbers, corresponding to the 2000-2006 programming period, which are still pending to be matched.

For the 2007-2013 programming period, the AEI Agency has already carried out this task of linking ERDF loans and operations, and the corresponding tasks are being processed for other administrative bodies.

Finally, for the 2014-2020 period, the Managing Authority's Funds 2020 application already requires, as information to be filled in, the loan number for each operation, so the AEI Agency is uploading this information as the expenditure certifications are processed.

**6. Advice to applicants for assistance.** Advice will be provided especially regarding the presentation of guarantees and the characteristics of bank accounts. This advice will be provided through e-mail accounts available on the institutional website.

ACTIVITY	Workload estimation	Actual workload indicator	Target
<b>2.2.1 Ex-ante control of calls for proposals that require it</b>	3	No. of calls audited	100%
<b>2.2.2 Review of eligibility requirements and payment of multi-annuality payments</b>	7.000	No. of revisions	100%
<b>2.2.3 Issuance of accounting documents deriving from the concession</b>	30.000	No. of accounting documents issued	100%
<b>2.2.4 Payment of aid</b>	17.000	No. of transfers made	100%
<b>2.2.5 Cancellation of repayable advances</b>	6.600	FEDER/FSE co-financed operations	100%
<b>2.2.6 Advice to beneficiary organisations</b>	800	No. of emails answered	100%

In 2020, 2 calls for proposals were audited and the requirements for obtaining the status of beneficiary entity were reviewed, amounting to 6,800. 48,764 accounting documents were issued and 36,547 files were paid. Advice was provided to 750 beneficiary entities

In 2019, 2 calls for proposals were audited and the requirements for obtaining the status of beneficiary entity were reviewed, amounting to 7,000. 24,690 accounting documents were issued. 14,646 grants were paid and 740 beneficiary entities were advised.

### 3.3 LINE 3. SCIENTIFIC AND TECHNICAL EVALUATION of proposals.

The Agency is responsible for the organisation and management of the ex ante scientific-technical evaluation of proposals, actions or initiatives, where appropriate using evaluation criteria based on internationally recognised scientific and technical merits, as well as those criteria established in the corresponding calls for proposals, as set out in its Statute (Article 5.b).

The scientific-technical evaluation of the proposals and their internationally contrasted development protocols are the fundamental pillars that guarantee the correct functioning of competitive concurrence based on criteria of excellence and the correct allocation of budgetary funds.

This line of action is divided into three programmes of activities: the first programme includes the activities corresponding to the evaluations of the instruments included in the PAA; the second programme includes the evaluations corresponding to the collaboration agreements and the order of public prices with other entities external to the Agency; and the third programme refers to the development of the protocols to be used in the internal processes related to evaluation and which will be duly disseminated.

The evaluation management activities will be oriented towards the objective of achieving more efficient funding of R&D&I activities, with less bureaucracy, as well as the revision of procedures, in order to increase agility without reducing scientific rigour. There will be a trend towards greater involvement of scientific and technical collaboration staff in the design and mechanics of the evaluation processes and the simplification and streamlining of remote evaluations. There will also be a move towards greater automation of processes.

#### Programme 1. PAA-derived evaluations

This programme includes three activities:

1. Management of evaluations of applications submitted: the procedure for the evaluation of the proposals submitted is marked in the text of each of the calls itself, although in general it could be divided into two main groups:

- a) Evaluation of applications by experts.
- b) Evaluation by experts and a technical evaluation committee.

In both cases there are a series of common tasks that are included in what is known as evaluation management:

- ✓ Design and preparation of the evaluation formats following the criteria specified in each call.
- ✓ Configuration of the computer application(s) to be used in the evaluation process, which involves registering the call, designing the different phases of the evaluation, number of expert reports, and implementation of the evaluation formats.
- ✓ Preparation of documentation: evaluation instructions for experts.

- ✓ Registration of evaluation staff and collaborators in the evaluation application and assignment of reports or dossiers.
- ✓ Monitoring of the evaluation process, which involves monitoring the execution of the evaluations themselves and the reassignment of proposals to new evaluators in cases in which the persons assigned in the first place reject them, or of discordance between the evaluations issued, as well as compliance with the timetables.
- ✓ Management of the generation of the final evaluation reports which contain, depending on the procedure followed, either a summary of the evaluations carried out by the experts or the results of the discussion produced during the technical commissions and which constitute the only evaluation report.

The selection of experts for each proposal, as well as the final evaluation report, are tasks carried out by the EIP thematic partners in each of the corresponding areas.

2. Management of the technical evaluation committees. This activity includes tasks such as the designation of the members of the commissions themselves, as well as the organisation of the technical and human resources necessary for the holding of the commissions.

3. Preparation of allegation reports. The possible allegations presented by the applicants, related to the scientific-technical evaluation of their proposals, must be studied in detail by experts or external collaborating personnel, and the corresponding technical reports must be issued.

In addition, a horizontal task is carried out that supports the rest of the evaluation tasks, such as the maintenance and updating of the Experts Database. Each year new people are added to the database, with special emphasis on the incorporation of experts from institutions outside Spain, as well as experts of a more technological and innovative nature.

Activity	Workload estimation	Actual workload indicator	Objective
<b>3.1.1 Management of evaluations</b>	24.000	No. of applications assessed	100%
<b>3.1.2 Management of the Evaluation Technical Commissions</b>	220	No. of technical evaluation commissions held	100%
<b>3.1.3 Allegation and appeal reports</b>	1.900	No. of allegation reports carried out	100%

In 2020, the number of applications assessed amounted to 16,236; 160 technical commissions were organised and 1,671 reports on allegations and appeals were issued.

In 2019, the number of applications assessed amounted to 14,871; 171 technical commissions were organised and 1,981 reports on allegations and appeals were issued.

### Programme 2. External evaluations

This programme refers to the evaluations of calls for proposals external to the Agency, both with public and private entities, with which a collaboration agreement has previously been signed for this purpose or in application of the order of public prices for evaluation activities (See Axis 5). Once these institutions transfer the projects or actions to be evaluated to the Agency, the process followed is equivalent to that used in the evaluations derived from the PAA indicated in Programme 1, except for the development of the technical evaluation committees, which usually correspond to the entities or bodies responsible for funding these calls for proposals, depending on the particular characteristics of each of them.

Activity	Workload estimation	Actual workload indicator	Objective
<b>3.2.1 Management of evaluations</b>	5.800	No. of applications assessed	100%
<b>3.2.2 Allegation and appeal reports</b>	90	No. of allegation reports carried out	100%

The year 2020 had the following result: evaluated applications: 5,798; allegation and appeal reports: 88. 55 calls were evaluated.

The year 2019 had the following result: evaluated applications: 6,238; allegation and appeal reports: 98. 59 calls were evaluated.

### Programme 3. Evaluation Protocols

The Governing Board of 14 December 2021 approved the update of the basic procedures manual of the ERA, which includes the procedure for the evaluation and funding of applications, describing the general procedure.

In 2022, a review of the different protocols included in the current procedure will be carried out, with the participation of the scientific collaborating staff of the AEI, with the aim of simplifying and streamlining the process, always within what is indicated in the order of regulatory bases and the different calls.

Likewise, a new protocol will be developed for the evaluation of applications in two phases.

Activity	Workload estimation	Actual workload indicator	Objective
<b>3.3.3.1 Review of existing assessment protocols</b>		Drafting a review document	1
<b>3.3.3.2 Development of a new two-phase evaluation protocol</b>		Drawing up the protocol	1

### 3.4 LINE 4. MONITORING of aid.

Among the Agency's functions is the monitoring of the management, financing, justification and results of all actions directly carried out by the Agency, as well as the provision of advice on these actions [article 5 e) of the Statute].

In turn, the same statute establishes that the Agency's functions include the verification, monitoring and subsequent evaluation of the activities financed by it and their scientific, technical and socio-economic impact, as well as the control of the justification of compliance with the conditions and objectives of the aid granted [article 5 c) of the Statute].

The Agency's activity in this area has therefore been subdivided into Programme 1 for the scientific and technical monitoring of the implementation of grants and Programme 2 for the economic monitoring of the implementation of grants.

#### Programme 1. Scientific and technical monitoring of the implementation of grants.

Grants awarded following the proposal evaluation processes must be monitored to ensure that they are actually being implemented at the levels of excellence that justified their award. Furthermore, the assessment of the development of the aid programmes must serve to progressively improve the definition of these programmes. For all these reasons, the scientific and technical monitoring of the implementation of aid is one of the fundamental components of the Agency's activity.

This programme has been divided into six main activities covering the phases and tasks described below:

**1. Management of the scientific-technical monitoring programmed in each call.** This scientific-technical monitoring process is carried out following two different protocols for the assessment of the scientific-technical reports, depending on what is indicated in each of the calls for proposals. In both cases there are common tasks such as: the design and preparation of the report formats, the preparation of the computer applications for the presentation of the grant implementation reports, the claiming of reports not received or the correction of those that are not complete, among others.

Once the scientific-technical reports have been received, they are assessed using two different procedures:

- Reports are assessed by external scientific-technical collaborating staff and reviewed and validated by AEI staff.
- The reports are assessed by the technical staff of the AEI.

Once the report has been assessed and validated, the information from this assessment is sent to the beneficiary of the aid. In the case of interim reports, and depending on what the call dictates, as long as the assessment is favourable, the corresponding certificates of conformity necessary for the release of subsequent payments will be issued. On the other hand, in cases where the assessment is unfavourable, the processing of the corresponding forfeiture of the right to payment or the files for the reimbursement of the aid, as the case may be, will be initiated. In the case of

final reports, failure to submit or negative assessment thereof shall give rise to the initiation of partial or total reimbursement of the aid. The evaluation of the scientific-technical monitoring may be taken into account in the evaluation of applications for actions presented by the same PI or research group.

**2. Assessment of grants in monitoring meetings.** This activity consists of the development of meetings for the presentation and intermediate monitoring of the state of execution of the funded activities under assessment. The face-to-face monitoring meetings will be led by the collaborating scientific and technological staff and may be attended by the external experts who carried out the evaluation, as well as by civil servants from the monitoring subdivisions (thematic or transversal).

**3. On-site monitoring visits. When foreseen in a call for proposals,** scheduled inspection visits will be carried out. These actions are carried out by technical staff of the subdivisions in charge of scientific-technical monitoring.

**4. Resolutions of authorisation/refusal of modifications to the conditions of the aid.** Those requests for modification of the conditions of the aid contemplated in the call for proposals that are justified and appropriate in each case will be processed for authorisation. To this end, the collaboration of the scientific and technological collaborators may be requested, who will draw up the technical assessment reports that support the decisions to authorise or deny the modifications to the initial grant.

**5. Citizen information and advice to beneficiary entities.** Throughout the entire process of the scientific-technical execution of the grants, it is essential that all beneficiaries are properly advised on the completion of the execution reports, the submission of incidents, procedural doubts, etc. This advice will be provided primarily through e-mail accounts created for this purpose.

### Cross-cutting Scientific and Technical Programmes, Strengthening and Excellence Branch

Activity	Workload estimation	Actual workload indicator	%
<b>4.1.1 a) Management of the scientific-technical monitoring of grants</b>	2.533	No. of aid monitored	100%
<b>4.1.2 a) Assessment of aid in follow-up meetings</b>	94	No. of aid assessed in follow-up meetings	100%
<b>4.1.3 a) On-site monitoring visits</b>	60	No. of on-site visits	100%
<b>4.1.4 a) Decisions authorising/refusing modifications to the conditions of aid</b>	1.307	No. of decisions modifying the conditions of aid	100%



<b>4.1.5 a) Information and advice to beneficiary organisations</b>	5.315	No. of mails answered over the number of mails received	100%
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The subdivision participates in the drafting of calls for proposals related to its field of action and carries out the screening of Spanish proposals participating in international calls for proposals.

#### **Scientific and Technical Thematic Programmes Branch**

<b>Activity</b>	<b>Workload estimation</b>	<b>Actual workload indicator</b>	<b>%</b>
<b>4.1.1 b) Management of the scientific-technical monitoring of grants</b>	12.280	No. of aid monitored	50%
<b>4.1.2 b) Assessment of aid in follow-up meetings</b>	2.308	No. of aid assessed in follow-up meetings	30%
<b>4.1.3 b) On-site monitoring visits</b>	189	No. of on-site visits.	25%
<b>4.1.4 b) Decisions authorising/refusing modifications to the conditions of the assistance</b>	6.790	No. of decisions modifying the conditions of aid	100%
<b>4.1.5 b) Information for citizens and advice to beneficiary organisations</b>	10.000	No. of emails answered out of the number of emails received.	100%

The staff of the Scientific and Technical Thematic Programmes (STEM) subdivision also collaborate in the uploading of all the grants awarded to calls for proposals whose scientific and technical monitoring is carried out by STEM into the corresponding computer applications.

#### **6. Results reports of resolved calls for proposals**

The State Research Agency has launched a plan to draw up reports analysing the results of its most relevant calls for proposals. The aim is to inform society as a whole, and especially the scientific community, of the results obtained through the calls financed by the State Research Agency in which they have participated.

There is no doubt that these analyses will allow us to detect and improve our actions, which will be reflected in future calls for proposals. In 2022, two reports will be drawn up on some of the Recovery Plan calls (Proof of Concept Projects or R&D&I Projects for the Ecological and Digital Transition), as well as a comparative analysis of the calls for Knowledge Generation Projects and Challenges for Society 2018-2020.

Activity	Indicator	Objective
4.1.6 Call Results Reports	No. of reports	2

### Programme 2. Economic monitoring of the implementation of aid.

A total of eight main actions are considered within this programme:

**1. Implementation of a new system of economic justification**, on the occasion of the approval of the new regulatory bases, tending towards the use, as a general rule, of the simplified supporting account and the application of sampling techniques in the economic verification, with the limitations determined by the applicable regulations.

**2. Administrative review of the supporting account and economic audit of the expenditure** of all files completed by 31 December 2018. The phases of verification of the validity of the expenditure charged by the beneficiary entities will depend on the type of supporting account, and may include from the verification of the ROAC (Official Register of Auditors) auditor's report to the exhaustive verification of all the supporting documents of expenditure provided and, where appropriate, the processing of the request for correction.

In the particular case of the ERDF and ESF, an exhaustive check is carried out on all the justifications submitted by the beneficiary entities. Of the total number of supporting accounts to be checked in 2022, 3,000 supporting accounts, amounting to € 460 million, relate to grants co-financed by the ERDF, while 4,000 supporting accounts, amounting to € 81 million, relate to grants co-financed by the ESF.

In the case of aid not financed by the ERDF or the ESF, it is foreseen that a percentage of the justified aid will be reviewed, as allowed by the General Law on Subsidies. This is the first year that the review of EIP grants will be carried out on a sample basis, which will allow the optimisation of the limited existing resources and a more efficient approach to the monitoring of grants financed by European funds.

**3. Monitoring visits.** When so stipulated in the general calls for proposals or in the regulations governing the European Structural Investment Funds (ESIF), on-site verification will be carried out at the headquarters of the beneficiary entities, of the accounts and supporting documents for the expenditure provided, as well as, where appropriate, of the tangible fixed assets financed. This activity is envisaged for calls from 2013 onwards.

**4. Reimbursement decisions.** This procedure includes the issuance of the agreement to initiate reimbursement, the opening of the hearing, the assessment of the allegations presented by the beneficiary entity and notification of the final reimbursement decision. It includes the issuance of the payment letters from the AEI, in the case of grants, or the request for the payment document form 069 to the Delegate Comptroller in the case of loans and repayable advances, and the corresponding transfer to the beneficiary entity.

On the basis of historical monitoring statistics, it is estimated that approximately 72% of the aid reviewed requires the processing of a reimbursement file, due to deficiencies in the implementation of the aid or amounts not invested.

In most cases the amounts claimed represent a small fraction of the amounts granted. In many cases the requested repayments are due to the absence of adequate documentary justification which can be resolved after examination of the allegations. The Agency intends to make progress in improving procedures to reduce litigation in this area.

It is estimated that the percentage of aid reimbursed out of the total granted is on average 5%.

**5. Preparation of reports on appeals for reconsideration against reimbursement decisions.** The beneficiary entities have one month from the day following notification to file an appeal for reconsideration against the reimbursement resolutions. These appeals must be reported prior to their referral to the General Secretariat, together with a copy of the file, for study by the legal department.

**6. Maintenance of the National Grants Database (BDNS).** It shall be necessary to register in the BDNS the reimbursement files that are initiated.

**7. Cancellation of guarantees and seizure of guarantees.** The procedure for the cancellation of guarantees submitted to the Caja General de Depósitos includes the issuance of the cancellation order in accordance with the models of the Caja General de Depósitos and the referral to it, as well as the notification to the beneficiary body that the referral has been made so that it can proceed to cancel the guarantee. The procedure for the seizure of guarantees includes notifying the beneficiary body of the start of the procedure, as well as the opening of the hearing, the assessment of the allegations and, in the event that these are rejected, issuing the request for seizure and sending it to the Delegated Comptroller for audit and to the Caja General de Depósitos (General Deposit Fund).

**8. Certification of European Structural Funds.** The certification of structural funds implies the justification before the Managing Authorities of the European Regional Development Fund and the European Social Fund of the eligible expenses of the co-financed projects, in order for the European Commission to proceed with the payment of the aids with said structural funds. Of the total number of operations to be certified in 2022, 3,000 correspond to the ERDF and 4,000 to the ESF.

**9. Advice to beneficiary entities.** Advice will be provided at all stages of the procedure, especially with regard to the presentation of the supporting account. This advice will be provided through e-mail accounts available on the institutional website.

Activity	Workload estimation	Actual workload indicator	%
<b>4.2.1 Implementation of a new simplified and sampled financial justification system</b>		Implementation in the regulatory bases	1
<b>4.2.2 Administrative review of the supporting account and audit of expenditure</b>	40.000	No. of files reviewed	36%
<b>4.2.3 Follow-up visits</b>	190	No. of visits made	89%
<b>4.2.4 Refund decisions</b>	28.000	No. of reimbursement decisions	34%
<b>4.2.5 Analysis of appeals for reconsideration</b>	620	No. of reports sent to the General Secretariat	97%
<b>4.2.6 No. of files cancelled/seized</b>	1.400	No. of collateral cancelled, partially cancelled or seized	100%
<b>4.2.7 Certification of EIE funds</b>	7.000	No. of certified operations	100%
<b>4.2.8 Advice to beneficiary entities.</b>	20.500	No. of emails answered	100%

### 3.5 LINE 5. MANAGEMENT OF AGREEMENTS, ENTRUSTMENT OF MANAGEMENT AND PROVISION OF SERVICES.

The Agency is responsible for carrying out the activities or providing the services entrusted to it by the General State Administration or, by virtue of contracts, agreements and in general legal transactions, by other entities [Article 5 i of the Statute]. Also, for the exercise of its functions, the Agency may enter into such management agreements and entrustments as may be necessary [Article 24 of the Statute].

The activities contemplated in this Axis are the following:

- 1. Collaboration agreements, management entrustments and other legal instruments** with other public and private non-profit institutions.
- 2. Provision of services**, fundamentally in the field of scientific and technical evaluation of R&D&I activities of other institutions.

Activity	Indicator	Objective
<b>5.1.1 Signing of agreements, management entrustments and other legal instruments</b>	No. of instruments signed.	15
<b>5.1.2 Activities for the provision of scientific and technical evaluation services.</b>	No. of activities carried out.	80

In 2020, 7 agreements and 70 activities for the provision of scientific and technical evaluation services have been signed. In 2019, there have been 12 and 130 respectively.

### 3. 6 LINE 6. COMMUNICATION, DISSEMINATION and REPRESENTATION in R&D forums.

The Agency's Communication and Transparency Plan was approved at the Governing Board meeting of 4 December 2017. This document sets out the communication and dissemination instruments that will make the Agency's activities and actions visible to the outside world and to its staff. Likewise, the Plan includes the lines of action that mark transparency in administrative activities and compliance with the obligations of good governance by the Agency's public officials, as well as accountability and commitments to present accurate and complete information on the results and procedures used in management. The specific measures for implementing this plan for the year 2022 are set out in Axis 6.

One of the Agency's basic principles of action is transparency in all its administrative activities, accountability and commitment to present accurate and complete information on all results and procedures used.

In turn, its Statute establishes as one of the Agency's functions the management of actions aimed at fostering collaboration, exchange, circulation, dissemination and exploitation of scientific and technical knowledge among the agents of the System [article 5 h) of the Statute].

In order to respond to these requirements and in compliance with the transparency plan, this line of action is divided into two differentiated sets of activities: firstly, communication and dissemination, and secondly, representation at R&D meetings and forums.

### Programme 1. Communication and dissemination

Communication and dissemination activities aim to give visibility and transparency to all the Agency's activities through the participation of its management and technical staff in events, and through its presence in the media, including social networks. The new website has also launched an initiative to communicate important scientific news on grants financed by the Agency. In short, the aim is to disseminate the Agency's activities in order to improve the vision of its work in the scientific community and the rest of Spanish society, and to adopt the necessary measures to guarantee maximum transparency in the exercise of its functions.

Presence in the media:

Activity	Indicator	Objective
<b>6.1.1 Media references</b>	No. of references	2
<b>6.1.2 Press releases.</b>	No. of press releases.	19
<b>6.1.3 Social networking: IEA activity</b>	No. of content communicated through social networks.	340
<b>6.1.4 Media interviews.</b>	No. of interviews.	2
<b>6.1.5 Communication of scientific news on major grants.</b>	No. of news.	150

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State Research Agency events and briefings at events aimed at the scientific community and the management bodies of the beneficiary entities:

Activity	Indicator	Objective
<b>6.1.6 Active participation in events</b>	No. of papers and conferences.	70
<b>6.1.7 Conferences with presidents and coordinators of the scientific areas.</b>	Number of days.	3
<b>6.1.8 Conferences with presidents of the scientific areas.</b>	Number of days.	2

Transparency measures in accordance with section 4.2 of the State Research Agency's Communication and Transparency Plan:

Activity	Indicator	Objective
<b>6.1.9 Website advertising: updating and adding new content</b>	No. of publications	18 (1)
<b>6.1.10 Advertising in the National Grants Database</b>	No. of publications	18 (2)
<b>6.1.11 Updating of the Instructions for the communication and publicity of aid granted</b>	No. of documents	2
<b>6.1.12 Translation into English of the documents relevant to the Agency's activity.</b>	No. of documents	2 IGA PAA
<b>6.1.13 Translation into English of extracts from the calls for proposals</b>	No. of documents	18
<b>6.1.14 Publication on the Transparency Portal of documents relevant to the Agency's activity</b>	No. of documents	2
<b>6.1.15 State Investigation Agency Annual Accounts</b>	No. of documents	1

(1) New content item: each new call fiche and all its associated content.

(2) Publicity item in the National Grants Database: each call that is uploaded, with all its associated content.

### Programme 2. Representation at national and international fora

Given the importance of maintaining and strengthening the national and international dimension of the Agency's activities, representation activities will be developed in national and international meetings and forums related to matters within its scope of action, as well as representation in R&D policy forums when so determined by the Ministry of Science and Innovation. International activities include participation in the governing bodies of ERANETs and specialised working groups within the European Commission. National activities include boards of trustees, commissions, working groups and other specialised forums.

Activity	Indicator	Objective
<b>6.2.1 Participation in international fora</b>	No. of forums in which active participation takes place	65
<b>6.2.2 Participation in national fora</b>	No. of forums in which active participation takes place	70

### Programme 3. Internal communications and briefings

Finally, we must continue to make progress in involving workers in the Agency's activities and issues of common interest, either through corporate mailings and/or general or unit meetings. We consider it important to maintain regular and informative contacts, while at the same time allowing for interaction with the concerns and proposals of all staff.

Activity	Indicator	Objective
<b>6.3.1 Internal communications and briefings</b>	Corporate mailings and meetings	10



### 3.7 LINE 7. MEASURES TO ENFORCE GENDER EQUALITY IN R&D&I FINANCING ACTIVITIES

At the meeting of the Governing Board held on 20 January 2021, the I Gender Equality Plan 2021-2023 of the AEI Agency for R&D&I funding activities was approved. The areas of action contemplated in this document refer to the R&D&I funding activities carried out by the AEI Agency and will be complemented and coordinated with the objectives and measures of the AEI's Equality Plan, framed within the 3rd National Government Equality Plan and currently being drawn up. This document describes the planning for its implementation until 2023.

Among these activities for 2022, the following are foreseen:

1. To continue adapting the composition and functions of the Strategic Equality Group (GEI-AEI) to the equality activities of the AEI: those contemplated in this I Equality Plan for funding activities, and those derived from the GAA Equality Plan. It is foreseen that at least two meetings will be held throughout the year (one every six months) and that working groups will be created within the group to promote gender equality activities in the AEI.
2. A training course on gender equality will be given to the staff of the AEI in the different calls.
3. Self-training activities on gender mainstreaming will be designed for the people who will carry out evaluation and monitoring tasks of the EIG grants, by thematic areas.
4. Analysis of the inclusion of the descriptors and sections on gender perspective in the evaluation and monitoring report models.
5. Analysis of the evolution of the gender balance among collaborators and experts involved in the evaluation of proposals managed by the EIP.

Activity	Indicator	Objective
<b>7.1.1 Matching the IEG to the equality activities of the EIG</b>	No. of coordination meetings	2
<b>7.1.2 Organisation of a course on gender equality in the different calls for proposals</b>	Course delivery	1
<b>7.1.3 Self-training activities for evaluators.</b>	Production of 4 explanatory videos	4
<b>7.1.4 Analysis of the inclusion of gender descriptors and sections in the evaluation and monitoring report templates</b>	Preparation of the document	1
<b>7.1.5 Analysis of the evolution of the gender balance among collaborating staff and experts involved in the evaluation</b>	Preparation of the document	1

## **4 HUMAN RESOURCES.**

The staff of the State Investigation Agency as of 1 January 2022 is as follows.

Unit	No. of jobs		
	filled	Vacancies	Total
Support Unit	8	3	11
General Secretariat	30	21	51
Division of Coordination, Evaluation and Scientific and Technical Follow-up	5	5	10
Coordination and Evaluation Branch	15	10	25
Scientific and Technical Thematic Programmes Branch	26	8	34
Cross-cutting Scientific and Technical Programmes, Strengthening and Excellence Branch	22	6	28
Division of Programming, Economic and Administrative Management	2	6	8
Planning and Administrative Management Branch	29	19	48
Economic Management Branch	17	2	19
Grant Monitoring and Justification Branch	30	34	64
European Funds Grants Management Sub-Division	18	4	22
<b>TOTALS</b>	<b>202</b>	<b>118</b>	<b>320</b>

## 5 BUDGETARY RESOURCES.

The budget of the State Research Agency for the year 2022 is as follows:

Summary of income and expenditure	
Revenues (thousands of euros)	
Concept	Amount
Fees, public prices and other revenues	1,200.00
Current transfer	29,984.02
Actual investments: Repayments on capital operations	30,000
Capital transfer	1,296,960.00
Financial assets	20.00
<b>Total income</b>	<b>1,358,164.02</b>
Expenditure (thousands of euros)	
Concept	Amount
Staff costs	12,117.58
Current expenditure on goods and services	19,236.35
Financial expenses	350.00
Current transfers	70.00
Real investments	120
Capital transfers	738,240.09
Financial assets	20
Real investments PRTR	3,000
Capital transfers PRTR	585,010.00
<b>Total expenditure</b>	<b>1,358,164.02</b>

## **6. MONITORING OF THE ANNUAL ACTION PLAN**

The monitoring of the Plan will be carried out in the manner indicated in article 23.1 of the Agency's Statute, through the preparation of an annual activity report, which must be drawn up by the Agency's Management and submitted to the Governing Board for approval within the first six months of the year following the year to which the Plan refers.

The general activity report includes, for each of the axes, programmes and activities, a detailed analysis of the degree of execution, as well as the associated objectives.

This is without prejudice to the efficiency control that may be carried out by the department of assignment, in the exercise of its competences.

## **7 BASIC REGULATIONS**

The basic regulations applicable to the management processes of the State Research Agency are as follows:

- Law 40/2015, of 1 October, on the Legal Regime of the Public Sector.
- Law 14/2011, of 1 June, on Science, Technology and Innovation.
- Law 38/2003, of 17 November, General Law on Subsidies.
- Law 47/2003, of 26 November, General Budgetary Law.
- Royal Legislative Decree 5/2015, of 30 October, approving the revised text of the Law on the Basic Statute of the Public Employee.
- Royal Legislative Decree 3/2011, of 14 November, approving the revised text of the Public Sector Contracts Act.
- Law 39/2015, of 1 October, on the Common Administrative Procedure of Public Administrations.
- Royal Decree 1067/2015, of 27 November, creating the State Research Agency and approving its Statute.